This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

12.24 -22

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

Reference No.: AR Filing Date: 10/24/01 Application No.: 10/087,167

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:
C12N 15/85, 15/00, 15/62
C12N 15/12, 15/38, 15/63
C12N-15/31

(11) International Publication Number: WO 93/03162
(43) International Publication Date: 18 February 1993 (18.02.93)

(21) International Application Number:

PCT/US92/06391

(22) International Filing Date:

3 August 1992 (03.08.92)

(30) Priority data:

¢...

742,127

8 August 1991 (08.08.91)

US

(71) Applicant: GENENTECH, INC. [US/US]; 460 Point San Bruno Boulevard, South San Francisco, CA 94080 (US).

(72) Inventor: GODOWSKI, Paul, J.; 305 Loma Vista Terrace, Pacifica, CA 94044 (US).

(74) Agents: FITTS, Renee, A. et al.; Genentech, Inc., 460 Point San Bruno Boulevard, South San Francisco, CA 94080 (US).

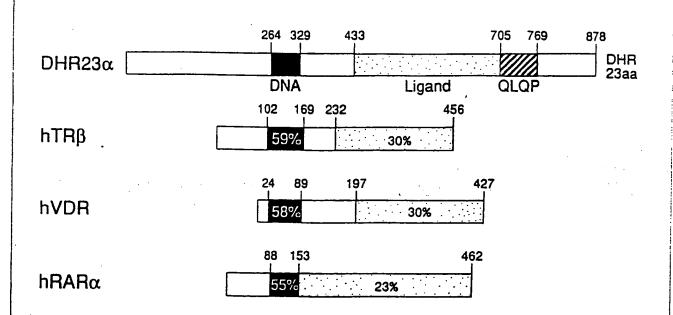
(81) Designated States: JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: ECDYSTEROID DEPENDENT REGULATION OF GENES IN MAMMALIAN CELLS



(57) Abstract

C

A method of inducing gene expression in a mammalian cell or an intact mammal comprising contacting an ecdysteroid, such as muristerone A, with an ecdysteroid receptor polypeptide within a mammalian cell, wherein said mammalian cell further contains a DNA binding sequence for said ecdysteroid receptor when in combinati n with its ligand, such as muristerone A, thereby resulting in gene expression.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

	•				
AT	Austria	FI	Finland	MN	Mongolia
AU	Australia	FR	France	MR	Mauritania
88	Barbados	GA	Gabon	MW	Matawi
B€	Belgium	GB	United Kingdom	NL	Netherlands
BF	Burkina Faso	GN	Guinea	NO	Norway
BC.	Bulgaria	GR	Greece	NZ	New Zealand
BJ	Benin	ни	Hungary	PL	Poland
8R	8razii	ΙE	Ireland	PT	Portugal
CA	Canada	ıT	Italy	RO	Romania
CF	Central African Republic	35	Japan	RU	Russian Federation
CC	Causo	KP	Democratic People's Republic	SD	Sudan
CH	Switzerland		of Korea	SE	Sweden
CI	Côte d'Ivoire	KR	Republic of Korea	SK	Sluvak Republic
CM	Cameroon	LI	Liechtenstein	SN	Sunceal
CS	Ceechoslovakia	LK	Sri Lanka	SU	Soviet Union
œ	Crech Republic	LU	Luxenbourg	TD	Chad
30	Germany	MC	Monaco	·TG	Togo
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML.	Mail	US	United States of America

10

15

20

25

30

35

ECDYSTEROID DEPENDENT REGULATION OF GENES IN MAMMALIAN CELLS

5 FIELD OF THE INVENTION

This invention relates generally to the use of specific ecdysteroids in mammalian cells to induce gene expression of heterologous genes under the control of ecdysteroid receptor binding proteins.

BACKGROUND OF THE INVENTION

The steroid hormone receptor superfamily represents an evolutionarily conserved group of proteins that influence developmental and metabolic processes primarily by functioning as ligand-dependent transcription factors (for review, see K. R. Yamamoto, *Annu. Rev. Genet.* 19, 209 [1985]; M. Beato, *Cell* 56, 335 [1989]; R. M. Evans, *Science* 240, 889 [1988]). Structural analysis of receptor proteins has identified domains of these proteins that function to bind DNA or ligand and to enhance transcription (S. Rusconi and K. R. Yamamoto, *EMBO J.* 6, 1309 [1987]; P. J. Godowski, D. Picard and K. R. Yamamoto, *Science* 241, 812 [1988]; S. M. Hollenberg and R. M. Evans, *Cell* 55, 899 [1988]; N. J. G. Webster, S. Green, J. R. Jin and P. Chambon, *Cell* 54, 199 [1988]). Ligand binding is required for the interaction of some receptors with their cognate response elements (P. B. Becker, *et al.*, *Nature* 324, 686 [1988]) and may also control dimerization and transcriptional activation properties (S. Y. Tsai, *et al.*, *Cell* 55, 361 [1988); V. Kumar and P. Chambon, *Cell* 55, 145 [1988]).

The ability of transcription factors to function in heterologous species has provided a system to analyze the functional domains of that factor and a novel mechanism to control the expression of heterologous genes (A. J. Courey and R. Tjian, *Cell* 55, 887 [1988); A. J. Courey, D. A. Holtzman, S. P. Jackson and R. Tjian, *Cell* 59, 827 [1989]). Mammalian steroid hormone receptors have been shown to function when expressed in yeast (D. Metzger, J. H. White and P. Chambon, *Nature* 334, 31 [1988); D. Picard, M. Schena and K. R. Yamamoto, *Gene* 86, 257; M. Schena and K. R. Yamamoto, *Science* 241, 965 [1988]) and drosophila cells.

The ecdysteroids are steroids whose action is mediated by an intracellular receptor and includes the molting hormones of insects. The ecdysteroid hormone 20-OH ecdysone, also known as β -ecdysone, controls timing of development in many insects. See, generally, Koolman (ed.), *Ecdysone: From Chemistry to Mode of Action*, Thieme Medical Pub., N.Y. (1989). The generic term "ecdysone" is frequently used as an abbreviation for 20-OH ecdysone. Pulses, or rises and falls, of the ecdysone concentration over a short period of time in insect development are observed at various stages of <u>Drosophila</u> development.

These stages include embryogenesis, three larval stages and two pupal stages. The last pupal stage ends with the formation of the adult fly. One studied effect of ecdysone on development is that resulting from a pulse at the end of the third, or last, larval stage. This pulse triggers the beginning of the metamorphosis of the larva to the adult fly. Certain

tissues, called imaginal tissues, are induced to begin their formation of adult structures such as eyes, wings and legs.

During the larval stages of development, giant polytene chromosomes develop in the non-imaginal larval tissues. These cable-like chromosomes consist of aggregates comprising up to about 2,000 chromosomal copies. These chromosome aggregates are extremely useful because they provide the means whereby the position of a given gene within a chromosome can be determined to a very high degree of resolution, several orders of magnitude higher than is typically possible for normal chromosomes.

A "puff" in the polytene chromosomes is a localized expansion or swelling of these cable-like polytene chromosome aggregates that is associated with the transcription of a gene at the puff locus. A puff is, therefore, an indicator of the transcription of a gene located at a particular position in the chromosome.

10

15

20

25

30

35

A genetic regulatory model was proposed to explain the temporal sequence of polytene puffs induced by the ecdysone pulse which triggers the larval-to-adult metamorphosis. See, Ashburner et al., *On the Temporal Control of Puffing Activity in Polytene Chromosomes, Cold Spring Harbor Symp. Quant. Biol. 38:655-662 (1974). This model proposed that ecdysone interacts reversibly with a receptor protein, the ecdysone receptor, to form an ecdysone-receptor complex. This complex would directly induce the transcription of a small set of "early" genes responsible for a half dozen immediately induced "early" puffs. These early genes are postulated to encode regulatory proteins that induce the transcription of a second set of "late" genes responsible for the formation of the "late" puffs that appear after the early puffs. The model thus defines a genetic regulatory hierarchy of three ranks, where the ecdysone receptor gene is in the first rank, the early genes in the second rank and the late genes in the third. While this model derived form the puffing pattern observed in a nonimaginal tissue, similar genetic regulatory hierarchies may also determine the metamorphic changes in development of the imaginal tissues that are also targets of ecdysone, as well as the changes in tissue development induced by the pulses of ecdysone that occur at other developmental stages.

Various structural data have been derived from vertebrate steroid and other lipophilic receptor proteins. A "superfamily" of such receptors has been defined on the basis of their structural similarities. See, Evans, "The Steroid and Thyroid Hormone Receptor Superfamily," *Science* 240:889-895 (1988); Green and Chambon, "Nuclear Receptors Enhance Our Understanding of Transcription Regulation," *Trends in Genetics* 4:309-314 (1988). Where their functions have been defined, these receptors, complexed with their respective hormones, regulate the transcription of their primary target genes, as proposed for the ecdysone receptor in the above model.

Ecdysteroid receptors from Drosophila melanogaster adult females were described by Handler et al. Mol Cell Endo 63:103-9, 1989. Ecdysteroid receptors for the blowfly Calliphora vicina were characterized by Lehmann et al, Eur J. Biochem 181:577-82, 1989. The isolation of

5

10

15

30

35

20-hydroxyecdysone from Vitex strickeri (East African herb) is described in JP1135794. The ecdysterone content of culture medium of drosophila salivary glands was described in SU1130605. Muristerone extracted from the seeds of faladana plants and used as a biological insecticide is described in U.S. Patent 3,828,082. The synthesis of ecdysone is described in U.S. Patent 3,354,154.

Analogs of ecdysteroids may be produced by plants to disrupt the development of insects. One plant-produced ecdysteroid analog is meristone A. Muristerone or Muristerone A (Figure 7, Compound IV) has a molecular weight of 496.640 daltons, a formula of $C_{27}H_{44}O_8$ and a CHCD name of 2,3,5,11,14,20,22-Heptahydroxy-7-cholesten-6-one (Cononica, L et al, Phytochemistry 14: 525, 1975). It is a constitutient of the plant Ipomoea calonyction and shows a high insect moulting activity. It is believed to protect the plant by disrupting insect development in the larval stage (Trematerra et al, Bollettino Zool. Agr. Bachic 18:87-93, [1986]). Muristerone A has been shown to have ecdysteroid activity in insects such as to trigger degeneration of the tick salivary gland (Lindsay et al, J. Insect Physiol 34:351-360, [1988]). Muristerone A-receptor complexes are not as sensitive to dissociation in high salt buffers as other ecdysteroid-receptor complexes and this affinity has allowed the use of radiolabeled muristerone A to follow ecdysteroid receptor during chromatography (Landon et al., J. Biol Chem 263:4693-4697, [1988]).

Many medically and commercially important proteins can be produced in a usable form 20 by genetically engineered bacteria. However, many expressed proteins are processed incorrectly in bacteria and are preferably produced by genetically engineered eucaryotic cells. Typically, yeast cells or mammalian tissue-culture cells are used. Because it has been observed that protein processing of foreign proteins in yeast cells is also frequently inappropriate, mammalian cultured cells have become the central focus for protein production. It is common that the production of large amounts of foreign proteins makes these cells 25 unhealthy, which may affect adversely the yield of the desired protein. This problem may be circumvented, in part, by using an inducible expression system. In such a system, the cells are engineered so that they do not express the foreign protein, and therefore are not unhealthy, until an inducing agent is added to the growth medium. In this way, large quantities of healthy cells can be produced and then induced to produce large amounts of the foreign protein. Unfortunately, in the presently available systems, the inducing agents themselves, such as metal ions or high temperature, adversely affect the cells, thus again lowering the yield of the desired foreign protein the cells produce. A need therefore exists for the development of innocuous inducing factors for efficient production of recombinant proteins. Such innocuous factors could also prove invaluable for human therapy, where the individual suffers from lack of the ability to produce particular proteins. By using methods similar to those for producing proteins in cultured cells, such innocuous factors for inducing the synthesis of the required protein could be used for controlling both the timing and the abundance of the protein produced

in the affected individual. Therefore, a need exists for an inducible expression system in both mammalian cell culture and in mammals *per se*.

The homones that complex with mammalian or other vertebrate members of the steroid receptor superfamily are unlikely candidates for such innocuous factors, nor have they been found to satisfy the required properties of such factors, because mammalian cells contain these receptors, or highly homologous proteins, that would alter the expression of many target genes in the presence of the respective homone, thereby adversely affecting the host cells. For these and other reasons, developing an alternative steroid receptor system has been a goal of researchers. Unfortunately, efforts have been unsuccessful despite significant investment of resources. The absence of information on the structure, function and molecular biology of non-mammalian steroid receptors has significantly hindered the ability to produce such products. Recently, the isolation and characterization of drosophila DHR23α DNA, which contained a partial sequence of the DHR23α polypeptide, a member of the steroid homone receptor superfamily previously identified in the laboratory of Dr. David Hogness (W. Seegraves, thesis, Stanford University [1988]) allows the isolation of the DNA sequence encoding the DHR23α. This isolated DNA sequence allows the construction of expression systems incorporating the DNA encoding the DHR23α ecdysteroid receptor.

In summary, the insect steroid hormones and their receptors, such as the ecdysteroids, are a potential source of material for developing innocuous steroid receptor systems for use in mammalian cell culture and in mammals themselves. However, no ecdysteroid has been shown to function to induce activity of an ecdysteroid receptor in a mammalian cell. Therefore, to develop a system based upon an insect hormone-receptor system, there exists a need for an ecdysteroid hormone or hormone analog that induces the ecdysteroid receptor in mammalian cells to express those genes placed under control of the ecdysteroid receptor.

SUMMARY OF THE INVENTION

10

15

20

25

30

35

I show that DHR23 α , a Drosophila steroid receptor homologue, can function in cultured mammalian cells as an ecdysteroid-dependent transcription factor when induced by a specific group of ecdysteroids which includes muristerone A. Muristerone A and related ecdysteroids that lack a 25-hydroxyl group, will induce in mammalian cells the expression of DNA sequences under the transcriptional control of DHR23 α . DHR23 α inducible activity was not induced by any of the mammalian steroid hormones tested. The DNA-binding and transactivation activities of viral, mammalian or bacterial proteins were rendered ecdysteroid-dependent when fused to the DHR23 α ligand-binding domain. This system is useful in selectively regulating the expression of endogenous or heterologous genes in mammalian cells.

DESCRIPTION OF THE FIGURES

10

15

20

25

30

35

Figure. 1. (A) Nucleotide and derived amino acid sequence of the DHR23 α cDNA clone (seq. ID No. 1). Numbers on the left and right indicate nucleotide and amino acid residues, respectively. The conserved amino acids corresponding to the putative DNA-binding domain are underlined. (B) Schematic comparison of the *Drosophila* DHR23 α protein with the human thyroid hormone receptor (hTR β), the human vitamin D3 receptor (hVDR) and the human retinoic acid receptor (hRAR α). The amino acid residues are indicated by numbers above the boxes. The region of DHR23 α marked 'DNA' is compared with the DNA-binding domains of the other receptors. The region of DHR23 α marked 'Ligand' contains the highest region of homology to the ligand-binding domains of the other receptors. The percent identity in these regions is shown by the numbers within the boxes. The region labeled 'QLQP' is rich in the amino acids glutamine (Q) leucine (L) and proline (P).

Figure. 2. Specific ecdysteroids are agonists for the DHR23α receptor in mammalian cells. Human 293 cells were cotransfected with 2.5 μg of the expression plasmid pRSV.DHR23α or the parental expression plasmid pRSV (Control) and 0.5 μgs of the reporter plasmid pEc₄M₋₇₇CO (EcRE) or pG₄M₋₇₇CO (GRE). As a control for transfection efficiency, 0.5 μgs of the control plasmid pRSV.hGH was included in the transfection mixture. After transfection, cells were treated without (-) or with alpha-ecdysone (alpha), 20-OH ecdysone (20-OH), polypodine B (ppB), ponasterone A (ponA) or muristeroine A (murA). CAT extracts were harvested 48 hrs after transfection and assayed. The values were normalized to the expression of hGH and the average values of three independent experiments are shown. The "fold induction" represents the level of expression of the reporter gene in cells incubated with hormone divided by the expression of that reporter gene in extracts from cells incubated in the absence of added ligand.

Figure. 3. Effect of mammalian hormones on activity of DHR23 α . Cells were transfected with the DHR23 α expression vector and Ec₄M₋₇₇CO reporter gene and treated without (-) or with the following hormones (1 μ M): muristerone A (mur A) dexamethasone (Dex) 17 β -estradiol (E2), aldosterone (Aldo), corticosterone (Cort) hydroxycorticosterone (OH-Cort) thyroid hormone (T3), promegestone (Promeg) or 1,25-dihydroxy vitamin D3 - (VD3). Reporter gene activity was determined as described in Fig. 2.

Figure. 4. Schematic representation and expression of receptor proteins. (A) Receptor constructs are denoted according to a three part nomenclature describing the origin of their N-terminal transactivation, DNA-binding and ligand-binding domains. "G" and "Ec" refer to the glucocorticoid receptor and DHR23α, respectively. ""E" refers to a derivative of the rat glucocorticoid receptor DNA-binding domain with two amino acid substitutions (G458E, S459G) that convert the DNA-binding specificity to that of the estrogen receptor. "X" (solid box) indicates the DNA-binding domain (amino acids1-87) of the *Escherichia coli* LexA protein. "V" (hatched box) denotes a derivative of the GR N-terminal domain in which amino acids 153-406 are replaced by the transcriptional activation domain of the HSV VP16 protein

(amino acids 411-490) GGEc was constructed by replacing the ligand-binding domain of GGG (amino acids 528-795) with the ligand-binding domain of DHR23α (amino acids 329-878). Similarly, to construct GXEc, the ligand-binding domain of GXG was replaced with the DHR23α ligand-binding domain. (B) Accumulation of receptor proteins in transfected cells. Whole cell extracts were prepared 48 hrs after transfection with receptor expression plasmids encoding the following fusion proteins. Lane 1, EcEcEc; lane 2, GGG; lane 3. GGEc; lane 4, VGEc; lane 5, G*E*G; lane 6, G*E*Ec; lane 7, GXG; lane 8, GXEc; lane 9, VXEc. The blots were reacted with monoclonal antibody BμgR2 that recognizes an epitope in the N-terminal domain of the rat glucocorticoid receptor and then with a sheep antiserum to mouse antibody coupled to horseradish peroxidase. Positions of the molecular markers are indicated.

Figure. 5. RNase protection analysis of transcripts induced by receptor proteins. Total RNA was prepared from cells 48 hrs after transfection with expression plasmids encoding either DHR23 α (EcEcEc), GGG, or GGEc and the reporter gene $G_4M_{-77}GO$. The position of 377 base protected band for $G_4M_{-77}CO$ and the 294 base protected band from the internal control gene (expressed from a CMV enhancer/promoter construct) are indicated by the closed and opened arrows, respectively.

Figure. 6. Induction of estrogen receptors (EREs) by chimeric receptors. Cells were transfected with expression plasmids encoding either DHR23 α (EcEcEc), G"E"G or G"E"Ec and E₄M-₇₇CO, that contains 4 EREs fused to the MTV promoter. Cells were incubated for 48 hrs with or without (-) or with muristerone A (M) or dexamethasone (D).

Figure 7. The chemical structure of the ecdysteroids: (I) ecdysteroid numbering system, R_1 and R_2 are site of electronegative group substitution; (II) ecdysone; (III) 20-OH ecdysone(β -ecdysone); (IV) ponasterone A; (V) muristerone A; (VI) 5-dehydroxy muristerone A; (VII) and 11-dehydroxy muristerone A.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

10

15

20

න

30

35

Steroid receptors are members of a large family of transcription factors whose activity is tightly regulated by the binding of their cognate steroid ligand. These ligand-dependent transcription factors can be exploited to obtain the regulated expression of heterologous genes in mammalian cells. However, the utility of these systems in transgenic animals is limited by the background of endogenous steroids and their receptors. The present invention demonstrates that an analog of the insect ecdysteroids, muristerone A, will induce gene expression in mammalian cells using the insect steroid receptor DHR23 α and its DNA binding sequence.

In the present invention, I wished to develop a system to specifically regulate heterologous genes in mammalian cells in tissue culture and in transgenic animals. Such a system provides a powerful method for regulating the synthesis of the products of heterologous genes. Systems that exploit the ability of mammalian steroid hormone receptors to function as ligand-dependent transcription factors have proved useful in regulating the

expression of heterologous genes in mammalian cells (D. I. Israel and R. J. Kaufman, *Nuc. Acids Res.* 17, 4589 [1989]). However, the applications of these systems in cultured cells or transgenic animals is limited by the background of endogenous steroid receptors and their ligands. In the present invention I show that the drosophila DHR23α protein, a member of the steroid hormone receptor superfamily previously identified in 1988 by W. Seegraves at Stanford University (W. Seegraves, thesis, Stanford University (1988]), can function as a ligand-dependent transcription factor in mammalian cells when induced by specific ecdysteroids such as DHR23α. The activity of DHR23α is induced upon administration of certain ecdysteroids but not by any of the mammalian hormones tested. Novel target gene specificity was obtained using chimeric receptors containing the DHR23α ligand-binding domains fused to heterologous DNA-binding domains. Finally, the activity of these chimeric proteins could be increased by inclusion of a potent viral transactivation domain.

10

15

20

25

30

35

Oligonucleotide probes based on the partial DHR23 sequence (W. Seegraves, thesis, Stanford University (1988)) were used to screen a cDNA library prepared from drosophila early pupal larvae. The deduced amino acid sequence of the DHR23 α clone is shown in Fig. 1A. The highest region of homology between DHR23 α and other members of the steroid receptor superfamily is found in a cysteine-rich region (residues 264-329) that corresponds to the DNA-binding domain (Fig. 1B). The putative ligand-binding domain shares limited homology with members of the retinoic acid and thyroid hormone receptors, and the vitamin D receptor. The N-terminal domain (residues 1-263) does not share significant homology with any steroid receptor superfamily member. I also identified a second form of this protein, DHR23 β , that appears to arise by differential splicing. DHR23 β is identical to DHR23 α in the DNA and ligand-binding domains but contains an unrelated N-terminal domain of 234 amino acids.

DHR23α has been reported to regulate transcription of genes containing ecdysone response elements (EcREs) in drosophila tissue culture cells treated with 20-OH ecdysone (M. Koelle, Paper presented at a seminar, 2 October 1989, Genentech, Inc., So. San Francisco, CA). I determined whether DHR23a could function in mammalian cells treated with ecdysteroids to enhance the transcription of a reporter gene containing EcREs linked to the murine mammary tumor virus (MTV) promoter and chloramphenicol acetyltransferase (CAT) gene. Human 293 cells were cotransfected with an RSV-based expression vector that encodes DHR23 α and the reporter gene Ec₄M₋₇₇CO that contains 4 copies of an ecdysone response element (EcRE) from the Drosophila HSP27 promoter (G. Riddihough and H. R. B. Pelham, EMBO J. 6, 3729 (1987)) linked to an MTV promoter-CAT construct. CAT activity was determined in extracts from cells incubated with or without the ecdysteroids α or 20-OH ecdysone, polypodine B, ponasterone A, or muristerone A. Neither α -ecdysone, 20-OH ecdysone, nor polypodine B acted as agonists for DHR23lpha in mammalian cells. In contrast, expression of the reporter gene was markedly increased in cells treated with muristerone A and to a lesser extent with ponasterone A (Fig. 2). This induction was dependent on the presence of an EcRE in the reporter gene because DHR23a did not regulate

5

10

15

20

25

30

35

expression of reporter genes containing binding sites for either the glucocorticoid receptor (GRE, Fig. 2) or for the estrogen receptor (ERE, Fig. 6). Thus, in mammalian cells DHR23 α acts in an ecdysteroid-dependent fashion to selectively stimulate expression from an EcRE containing reporter gene.

-It is unclear why 20-OH ecdysone and polypodine B, which are agonists in drosophila cell lines, fail to activate DHR23a in mammalian cells. Transport failure, inactivation and non-specific binding may account for the lack of activity in mammalian cells. However, it has been shown that the specific activities and relative efficacies of ligands for their receptors sometimes differ when that receptor is expressed in a heterologous system. For example, it is known that the activities of glucocorticoid ligands for their receptor differ significantly in yeast and mammalian cells. Surprisingly, only specific derivatives of ecdysone act as agonists, and these share the related feature of lacking the 25-hydroxyl group. The only structural difference between the weak agonist ponasterone A and 20-OH ecdysone, which is inactive, is that the former lacks a hydroxyl group at position 25. Even more surprising and unexpected is that the strong agonist muristerone differs from ponasterone A solely by the addition of hydroxyl groups at positions 5 and 11. Therefore, surprisingly, in mammalian cells ecdysteroid inducers of DHR23 α -regulated expression lack a hydroxyl group at position 25. In a preferred embodiment, the ecdysteroid may contain one hydroxyl group at positions 5 or 11. Alternatively, any electronegative group substituted at positions 5 and/or 11 of muristerone would be expected to result in activation of ecdysteroid receptors. Among the electronegative groups that may be substituted at positions 5 and 11 of muristerone are hydroxyl, ketone, sulfhydral, nitrate, nitrite, and halogens, particularly florine, bromine and chlorine. The most active inducer of DHR23 α regulated expression is muristerone A, which contains hydroxyl groups at both the 5 and 11 positions. The CHCD name for muristerone A is 2,3,5,11,14,20,22-heptahydroxy-7-cholesten-6-one; for 5-dehydroxy muristerone A it is 2,3,11,14,20,22-septahydroxy-7-cholesten-6-one; and for 11-dehydroxy muristerone A it is 2,3,5,14,20,22-septahydroxy-7-cholesten-6-one.

In the present invention, ecdysteroid receptor is defined as any insect steroid receptor that has a physiologically significant binding affinity for muristerone A, or a derivative of muristerone A which contains an electronegative substitution at the 5 or 11 positions. Among such physiologically significant receptors are the ecdysone receptor and DHR23X. A physiologically significant binding affinity is effective binding at a concentration of 10-6 molar or less. In the present invention, ecdysteroid is defined as any steroid lacking 25-OH having a physiologically effective affinity for an ecdysteroid receptor.

In order to determine if mammalian steroid hormones could act as agonists for DHR23 α , I tested representative members of hormones known to activate mammalian steroid receptors. None of these hormones could act as agonists for DHR23 α (Fig. 3). These results suggest that DHR23 activity is selectively regulated in mammalian cells by ecdysteroids.

0

Therefore, mammalian cells containing genes under the ∞ ntrol of DHR23 α will be induced by muristerone A, and not by those mammalian steroid hormones tested.

Ecdysteroid regulation of mammalian, viral and bacterial DNA binding or transactivation domains.

A remarkable feature of steroid hormone receptors is the degree to which individual domains can function when combined with domains of heterologous proteins. The DNA binding specificity of a receptor can be altered by replacing its DNA-binding domain with those of other steroid receptors (S. Green and P. Chambon, *Proc. Natl. Acad. Sci. U.S.A.* 325, 75 [1987]) or from bacterial (P. J. Godowski, D. Picard and K. R. Yamamoto, *Science* 241, 812 (1988)) or yeast (N. J. G. Green, S. Green, J. R. Kin and P. Chambon, *Cell* 54, 199 [1988]) DNA-binding proteins. In some cases, the activities of heterologous proteins become hormone regulated if that protein is fused to to a steroid receptor ligand-binding domain. For example, the transactivation or transformation activities of *E1A*, *c-myc* or *c-fos* can be brought under hormonal control by fusion of a steroid receptor ligand-binding domain (M. Eilers, D. Picard, K. R. Yamamoto and J. M. Bishop, *Nature* 340, 66 [1989]; D. Picard, S.J. Salser and K. R. Yamamoto, *Cell* 54, 1073 [1988]; G. Superti-Furga, G. Bergers, D. Picard and M. Busslinger, *Proc. Natl. Acad. Sci. U.S.A.* 88, 5114 [1991]).

10

15

20

න

30

35

I choose to determine if the ligand-binding domain of DHR23 α could be used to regulate the DNA-binding and transactivation domains of the mammalian glucocorticoid receptor for the following reasons. First, in contrast to DHR23a, high affinity DNA-binding sites for the GR have been identified (M. Beato, Cell 56, 335 [1989]) and reporter genes containing these sites are very strongly regulated. Thus, the sensitivity of our assays could be increased. Secondly, these chimeric receptors could be utilized to selectively regulate endogenous genes in mammalian cells in response to ecdysteroids. I constructed a chimeric gene, GGEc, in which the sequences encoding the ligand-binding domain of the GR were replaced with that of $DHR23\alpha$ (Fig. 4A). Western blot analysis indicated that GGEc was expressed in transfected cells, although at considerably lower levels than the intact GR (Fig. 4B). As expected, DHR23 α failed to induce the expression of G₄M.₇₇CO, a reporter gene that contains 4 copies of a high affinity GRE (Table 1). However, expression of the GRE-containing reporter gene was induced more than 700 fold by GGEc in muristerone-treated cells. The relative efficacies of ecdysteroids as agonists for the chimeric receptor are identical to that as for DHR23a; neither α -ecdysone, 20-OH ecdysone or polypodine B acted as agonists whereas ponasterone A and muristerone A acted as weak and strong agonists respectively.

Results of the CAT assays were confirmed and extended by direct analysis of transcripts initiated at the regulated promoter. RNA isolated from transfected cells was assayed by RNAse mapping experiments using probes complementary to the GRE containing reporter plasmid and a cotransfected control gene expressed from the CMV enhancer and

PCT/US92/06391 WO 93/03162

promoter. Figure 5 shows that GGEc acts in a hormone-dependent fashion to stimulate expression from a GRE-MTV promoter construct.

I then determined if a DHR23 fusion protein could regulate genes normally responsive to estrogens. I constructed a derivative of GGEc that incorporates a two amino acid change 5 in the first finger of the rat glucocorticoid receptor (G458E, S459G) that has been shown to convert the DNA-binding specificity of the GR to that of the ER (K. Umesono and R. Evans, Cell 57, 1139 [1989]; M. Danielsen, L. Hinck, G. Ringold, Cell 57, 1131 [1989]) (Fig. 4A). This fusion protein, G'E'Ec, now regulates the ERE containing reporter gene E4M-77CO in a muristerone-dependent fashion (Figure 6). As expected, G"E"Ec failed to induce the expression of reporter genes lacking EREs

10

15

20

25

30

35

Chimeric proteins containing the DHR23α ligand-binding domain fused to the DNAbinding domains of mammalian proteins are expected to prove useful in regulating the expression of either native or transgenic endogenous genes in transgenic animals. Such fusion constructs are expected to be useful in regulating the expression of exogenous genes introduced into transgenic mammals or mammalian cells. I next determined if the DHR23 α ligand-binding domain could be used to regulate the activity of a DNA-binding domain not normally expressed in mammalian cells. I constructed the chimeric gene GLxEc by replacing the sequences coding for the GR DNA-binding domain in the GGEc fusion with those encoding the DNA-binding domain of the Escherichia coli LexA repressor (J. W. Little and S. A. Hill, Proc. Natl. Acad. Sci. U.S.A. 82, 2301 [1985]) (Fig. 4). A reporter gene, X₄C₋₃₃CO was constructed that contains 4 copies of a 26-bp lex operator (R. Brent and M. Ptashne, Nature 312, 612 [1984]) at position -33 of the CMV promoter. GLxEc had no effect on the expression of a OC-33CO, a reporter gene lacking the lex operator (Fig. 6B). However, transcription of X₄C₋₃₃CO was strongly induced by GLxEc, and this induction was fully hormone-dependent (Fig. 6A). As controls I showed that X₄C₋₃₃CO was not induced in cells treated with muristerone and cotransfected with either DHR23α, that lacks the lexA DNA-binding domain, or by GLxG (P. J. Godowski, D. Picard and K. R. Yamamoto, Science 241, 812 [1988]) that contains the glucocorticoid receptor ligand-binding domain.

Finally, I determined if the activity of DHR23 α fusion proteins could be further enhanced by inclusion of a potent viral transactivation domain. I constructed VGEc and VLxEc fusion genes by replacing a portion of the GR N-terminal activation domain in GGEc and GLxEc, respectively, with the herpes virus VP16 acidic activation domain (I. Sadowski et al., Proc. Natl. Acad. Sci. 335, 563 [1988]; D. J. Cousens, R. Greaves, C. R. Coding, and P. O'Hare, EMBO J. 8, 2337 [1989]) (Fig. 4A). In transfected cells, these proteins accumulated to similar levels as derivatives lacking the VP16 activation domain (Fig. 4B). Both VGEc and VLxEc acted in an ecdysteroid-dependent fashion to induce activity of the appropriate reporter gene (Tables 1 and 2). However, the activity of VGEc and VLxEc was 5 and 10 fold greater than GGEc and GLxEc, respectively (M. A. Labow, S.B. Baim, T. Shenk, and A. J. Levine, Mol. and Cell. Biol. 10, 3343 [1990]). Thus, the DHR23α ligand-binding domain can be

5

10

15

20

25

35

used to regulate the activities of viral, mammalian and bacterial DNA-binding or transactivation domains.

The development of a system for regulated expression of endogenous and exogenous genes in eukaryotic cells provides an important method to study the function of those gene products and to develop animals models for disease. Our results demonstrate the feasibility of using nonmammalian steroid hormone receptors to regulate genes in mammalian cells. There are several important features of this system. DHR23 α acts as a potent and selective regulator of the transcription of genes containing EcREs', and the activity of DHR23 α can be further modified by replacing its DNA-binding or transactivation domains with those from heterologous proteins. Importantly, DHR23 α activity is regulated by ecdysteroids, which are not normally expressed in mammalian cells. Although I did not survey all of the mammalian steroids, none of those representing the most abundant of the natural murine steroids acted as agonists for DHR23 α . Thus, it is conceivable that the transcriptional regulatory activities of DHR23 α or DHR23 α fusion proteins will be completely dependent on administration of exogenous ligand.

Several reports have demonstrated the feasibility of using the E. coli lac repressor to regulate gene expression in mammalian cells. Both the lac repressor and the steroid receptor based systems can induce or repress (D. M. Omitz, R. W. Moreadith and Leder P., PNAS 88, 698 [1991]) transcription. One attractive feature of a steroid receptor based regulatory system is the remarkable flexibility in the types of activities that can be controlled by the ligand-binding domain. The activities of structurally distinct DNA-binding proteins such as lexA, c-fos, GAL4 and c-myc are rendered hormone-dependent when fused to a steroid receptor ligand-binding domain (P. J. Godowski, D. Picard and K. R. Yamamoto, Science 241, 812 [1988]; N. J. G. Green, S. Green, J. R. Kin and P. Chambon, Cell 54, 199 [1988]; M. Eilers, D. Picard, K. R. Yamamoto and J. M. Bishop, Nature 340, 66 [1989]; D. Picard, S.J. Salser and K. R. Yamamoto, Cell 54, 1073 [1988]; G. Superti-Furga, G. Bergers, D. Picard and M. Busslinger, Proc. Natl. Acad. Sci. U.S.A. 88, 5114 [1991]). Chimeric proteins can be further modified by addition or subtraction of transactivation domains. Thus, it is expected that DHR23α fusion genes can be constructed to regulate the expression of virtually any gene for which a cis-acting regulatory sequence and its cognate DNA-binding domain have been identified.

Among the genes that are anticipated for use in the method of the present invention are those encoding cytokines, hormones, structural proteins, enzymes and nucleic acids that are are present in less than therapeutically needed concentrations in mammals. Among the cytokines and hormones are polypeptides such as: growth hormone, insulin-like growth factors, interleukins, human growth hormone, N-methionyl human growth hormone, bovine growth hormone, parathyroid hormone, thyroxine, insulin, proinsulin, relaxin, prorelaxin, glycoprotein hormones such as follicle stimulating hormone FSH), thyroid stimulating hormone (TSH), parathyroid hormone, and leutinizing hormone (LH), hemopoietic growth factor, hepatic growth

factor, fibroblast growth factor, prolactin, placental lactogen, tumor necrosis factor-alpha and -beta, mullerian-inhibiting substance, mouse gonadotropin-associated peptide, glucagon, inhibin, activin, vascular endothelial growth factor, integrin, thrombopoietin, erythropoietin, nerve growth factors such as NGF-β, platelet-growth factor, hemopoietic growth factor, tissue factor protein, mullerian-inhibiting substance, mouse-gonadotropin-associated peptide, transforming growth factors (TGF) such as TGF-alpha and TGF-beta, insulin-like growth factor-I and -II, latency associated peptide, erythropoietin, osteoinductive factors, osteoinductive factors, interferons such as interferon-alpha, -beta, and -gamma, colony stimulating factors (CSFs) such as M-CSF, GM-CSF, and G-CSF, interleukins (ILs) such as IL-1, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8 and other polypeptide factors.

10

15

20

න

30

35

Among the enzymes are tissue plasminogen activase (TPA), urokinase, elastase, adenosine deaminase, bombesin, factor IX, factor VIII, thrombin, enkephalinase, β-lactamase, superoxide dismutase, reverse transcriptase, RNase, DNase, enzymes involved in glycolysis, Kreb's cycle, gluconeogenesis, urea cycle, oxidative phosphorylation, the synthesis or degradation of purines, pyrimidines, nucleic acid polymers, cholesterol, protein, glycogen, fatty acids, carbohydrate, phospholipids, glycoproteins or lipids.

Among the structural proteins are lung surfactant, albumin, antibodies, carrier proteins, glycoprotein hormone receptors, CD-4, insulin-like growth factor binding proteins, calcitonin, factor VIII, an antibody, protein A or D, rheumatoid factors, viral antigens, HIV envelope proteins GP120 and GP140, immunoglobulins, serum proteins and any protein present in a suboptimal amount.

Among the nucleic acids are DNA and RNA, both sense and antisense strands. The RNA may be any type, including ribosomal RNA, messenger RNA, transfer RNA, small nuclear RNA and RNA which has an enzymatic function or participates in an enzymatic function. The methods of the present invention have application to the production of nucleic acids which act as antisense or suppressor nucleic acids and inhibit the activity of a naturally occurring mammalian nucleic acid. Such nucleic acids have particular therapeutic efficacy in treating viral diseases, cancers and excessive production of a protein or other metabolic product.

Omitz, et al. (D. M. Omitz, R. W. Moreadith and Leder P., PNAS_88, 698 ([1991]) have described a binary system for regulating expression of heterologous genes in transgenic mice. In this system, a "transactivator" strain expressing the yeast GAL4 protein is crossed with "target" strains containing a transcriptionally silent transgene controlled by UAS sequences. The bigenic progeny of this cross express both transgenes in the same tissue. Bigenic systems incorporating DHR23\alpha or DHR23\alpha gene-fusions will provide a general method to control the abundance, time course and/or tissue specific expression of endogenous or exogenous genes. For example, tissue specific and developmentally regulated expression of transgenes controlled by EcREs is expected to be achieved by targeting the expression DHR23\alpha with appropriate tissue specific enhancers. The expression of the EcRE containing

"target" gene is expected to be induced at appropriate times by "turning-on" its activator with ecdysteroids. This development of a system that allows tissue and developmental control of genes in transgenic animals provides an important approach that complements strategies based on "gene-knockout" technology.

Mammalian cells suitable for the present method include those contained in an intact mammal. They include both differentiated and undifferentiated cells. Also included within the term mammalian cells are established cell lines and primary cell cultures derived from mammalian tissue. Among the preferred mammalian cell lines are 293 cell line and CHO cell line.

10

15

20

25

30

35

Therapeutic Compositions and Administration of Ecdysteroid

Ecdysteroids may be administered to transgenic mammals or mammalian cells that contain a gene under the transcriptional control of an ecdysteroid. For example, gene therapy to enable a mammal to express a polypeptide previously produced in insufficient quantities. Examples of such polypeptides are described above.

Therapeutic formulations of ecdysteroids are prepared for storage by mixing ecdysteroid subunit having the desired degree of purity with optional physiologically acceptable carriers, excipients, or stabilizers (*Remington's Pharmaceutical Sciences, supra*), in the form of lyophilized cake or aqueous solutions. Acceptable carriers, excipients or stabilizers are nontoxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate, and other organic acids; antioxidants including ascorbic acid; low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, arginine or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrins; chelating agents such as EDTA; sugar alcohols such as mannitol or sorbitol; salt-forming counterions such as sodium; and/or nonionic surfactants such as Tween, Pluronics or polyethylene glycol (PEG).

The ecdysteroid to be used for *in vivo* administration must be sterile. This is readily accomplished by filtration through sterile filtration membranes, prior to or following tyophilization and reconstitution. The ecdysteroid ordinarily will be stored in tyophilized form or in solution. Therapeutic ecdysteroid compositions generally are placed into a container having a sterile access port, for example, an intravenous solution bag or vial having a stopper pierceable by a hypodermic injection needle.

The route of ecdysteroid administration is in accord with known methods, e.g. injection or infusion by intravenous, intraperitoneal, intracerebral, intramuscular, intraocular, intraarterial, or intralesional routes, or by sustained release systems as noted below. The ecdysteroid is administered continuously by infusion or by bolus injection.

Suitable examples of sustained-release preparations include semipermeable matrices of solid hydrophobic polymers containing the ecdysteroid, which matrices are in the form of

5 . .

10

15

20

25

30

35

shaped articles, e.g. films, or microcapsules. Examples of sustained-release matrices include polyesters, hydrogels (e.g., poly(2-hydroxyethyl-methacrylate) as described by Langer *et al.*, <u>J. Biomed. Mater. Res.</u> 15: 167-277 (1981) and Langer, *Chem. Tech.* 12: 98-105 (1982) or poly(vinylalcohol), polylactides (U.S. 3,773,919, EP 58,481), copolymers of L-glutamic acid and gamma ethyl-L-glutamate (Sidman *et al.*, *Biopolymers* 22: 547-556 [1983]), non-degradable ethylene-vinyl acetate (Langer *et al.*, *supra*), degradable lactic acid-glycolic acid copolymers such as the Lupron DepotTM (injectable micropheres composed of lactic acid-glycolic acid copolymer and leuprolide acetate), and poly-D-(-)-3-hydroxybutyric acid (EP 133,988).

Sustained-release ecdysteroid compositions also include liposomally entrapped ecdysteroid. Liposomes containing ecdysteroid are prepared by methods known per se: DE 3,218,121; Epstein et al., Proc. Natl. Acad. Sci. 82: 3688-3692 (1985); Hwang et al., Proc. Natl. Acad. Sci. 77: 4030-4034 (1980); EP 52,322; EP 36,676; EP 88,046; EP 143,949; EP 142,641; Japanese patent application 83-118008; U.S. 4,485,045 and 4,544,545; and EP 102,324. Ordinarily the liposomes are of the small (about 200-800 Angstroms) unilamelar type in which the lipid content is greater than about 30 mol. % cholesterol, the selected proportion being adjusted for the optimal ecdysteroid therapy.

An effective amount of ecdysteroid to be employed therapeutically will depend, for example, upon the therapeutic objectives, the route of administration, the activity of the polypeptide induced by the ecdysteroid and the condition of the patient. Accordingly, it will be necessary for the therapist to titer the dosage and modify the route of administration as required to obtain the optimal therapeutic effect. A typical daily dosage might range from about 1 µg/kg to up to 100 mg/kg or more, depending on the factors mentioned above. Typically, the clinician will administer the ecdysteroid until a dosage is reached that achieves the desired effect. The progress of this therapy is easily monitored by conventional assays for the presence or activity of the induced polypeptide.

Ecdysteroid compositions are administered to transgenic mammals, or to transgenic mammalian cell culture, when there is within the target cell population an available ecdysteroid receptor and an ecdysteroid DNA-binding domain positioned to promote the expression of a DNA sequence encoding a desired polypeptide or nucleic acid. The ecdysteroid receptor, such as DHR23α, acts as a potent and selective regulator of the transcription of genes containing ecdysteroid receptor DNA-binding sites. The activity of ecdysteroids, such as DHR23α, can be further modified by replacing its DNA-binding or transactivation domains with those from other naturally occurring genes, thereby facilitating ecdysteroid control over the other naturally occurring genes and the products they produce. The chimeric ecdysteroid receptors still bind the same ligand, however, they induce expression of the gene specified by the heterologous DNA binding domain specified by fused transactivation domain. Transformation of mammalian cells is accomplished using standard methods. Vectors suitable for transforming mammalian cells include viral and mammalian DNA capable of expression in mammalian cells.

The following examples are offered by way of illustration and not by way of limitation.

EXAMPLE 1

CLONING OF DNA ENCODING DHR23a

Oligonucleotide probes based on the partial DHR23 sequence (W. Seegraves, thesis, Stanford University [1988]) were used to screen a cDNA library prepared from drosophila early pupal larvae. The deduced amino acid sequence of the DHR23 α clone is shown in Fig. 1A. The highest region of homology between DHR23 α and other members of the steroid receptor superfamily is found in a cysteine-rich region (residues 264-329) that corresponds to the DNA-binding domain (Fig. 1B). The putative ligand-binding domain shares limited homology with members of the retinoic acid and thyroid hormone receptors, and the vitamin D receptor. The N-terminal domain (residues 1-263) does not share significant homology with any steroid receptor superfamily member. I also identified a second form of this protein, DHR23 β , that appears to arise by differential splicing. DHR23 β is identical to DHR23 α in the DNA and ligand-binding domains but contains an unrelated N-terminal domain of 234 amino acids.

10

15

20

25

30

35

Figure 1 A illustrates the nucleotide and derived amino acid sequence of the DHR23α cDNA clone. Numbers on the left and right indicate nucleotide and amino acid residues, respectively. The first ATG following an upstream, in-frame stop codon is underlined and was chosen as the initiating codon. The initiator methionine conforms to the *Drosophila* consensus sequence for translation initiation. The conserved amino acids corresponding to the putative DNA-binding domain are underlined. To isolate the full-length DHR23α clones, -600,000 phage from a *Drosophila* third instar larval library were screened with two 50-mer oligonucleotide probes corresponding to nucleotides 109-158 and 1820-1869 of the partial DHR23α sequence in Seegraves, Stanford University Thesis, 1988. Eight positive clones were isolated, further characterized by PCR analysis and the nucleotide sequence of the two largest inserts was determined. The results of the sequencing are shown in figure 1A.

EXAMPLE 2

EXPRESSION AND ACTIVITY OF DHR23α POLYPEPTIDE IN MAMMALIAN CELLS

I determined whether DHR23 α could function in mammalian cells treated with ecdysteroids to enhance the transcription of a reporter gene containing EcREs linked to the murine mammary tumor virus (MTV) promoter and chloramphenicol acetyltransferase (CAT) gene. Human 293 cells were cotransfected with an RSV-based expression vector that encodes DHR23 α and the reporter gene Ec₄M.₇₇CO that contains 4 copies of an ecdysone response element (EcRE) from the *Drosophila* HSP27 promoter (G. Riddihough and H. R. B. Pelham, *EMBO J.* 6, 3729 [1987]) linked to an MTV promoter-CAT construct. CAT activity was determined in extracts from cells incubated with or without the ecdysteroids α -or 20-OH ecdysone, polypodine B, ponasterone A, or muristerone A. Neither α -ecdysone, 20-

OH ecdysone, nor polypodine B acted as agonists for DHR23 α in mammalian cells. In contrast, expression of the reporter gene was markedly increased in cells treated with muristerone A and to a lesser extent with ponasterone A (Fig. 2). This induction was dependent on the presence of an EcRE in the reporter gene because DHR23 α did not regulate expression of reporter genes containing binding sites for either the glucocorticoid receptor (GRE, Fig. 2) or for the estrogen receptor (ERE, Fig. 6). Thus, in mammalian cells DHR23 α acts in an ecdysteroid-dependent fashion to selectively stimulate expression from an EcRE containing reporter gene.

Specific ecdysteroids are agonists for the DHR23 α receptor in mammalian cells. Human 293 cells were cotransfected with 2.5 μ g of the expression plasmid pRSV.DHR23 α or the parental expression plasmid pRSV (Control) and 0.5 μ gs of the reporter plasmid pEc₄M. $_{77}$ CO (EcRE) or pG₄M. $_{77}$ CO (GRE). As a control for transfection efficiency, 0.5 μ gs of the control plasmid pRSV.hGH was included in the transfection mixture. After transfection, cells were treated without (-) or with alpha-ecdysone (alpha), 20-0H ecdysone (20-0H), polypodine B (ppB), ponasterone A (ponA) or muristeroine A (murA). All candidate ligands were added at a concentration of 1 μ M. CAT extracts were harvested 48 hrs after transfection, and assays were performed as described (D. R. Cavener, *Nucl. Acids Res.* 15, 1353-1361 [1987]). The values were normalized to the expression of hGH and the average values of three independent experiments are shown in Figure 2. The "fold induction" represents the level of expression of the reporter gene in cells incubated with hormone divided by the expression of that reporter gene in extracts from cells incubated in the absence of added ligand.

The effect of mammalian hormones on activity of DHR23 α is shown in Figure 3. Cells were transfected with the DHR23 α expression vector and Ec₄M₋₇₇CO reporter gene and treated without (-) or with the following hormones (1 μ M): muristerone A (mur A) dexamethasone (Dex) 17 β -estradiol (E2), aldosterone (Aldo), corticosterone (Cort) hydroxycorticosterone (OH-Cort) thyroid hormone (T3), promegestone (Promeg) or 1,25-dihydroxy vitamin D3 (VD3). Reporter gene activity was determined as described above for Figure 2. Retinoic Acid also did not act as an agonist for DHR23 α .

A schematic representation of chimeric genetic constructs and a Western blot of the resulting expressed receptor proteins are illustrated in figures 4A and 1B. In Figure 4A receptor constructs are denoted according to a three-part nomenclature describing the origin of their N-terminal transactivation, DNA-binding and ligand-binding domains. "G" and "Ec" refer to the glucocorticoid receptor and DHR23α, respectively. "E" refers to a derivative of the rat glucocorticoid receptor DNA-binding domain with two amino acid substitutions (G458E, S459G) that convert the DNA-binding specificity to that of the estrogen receptor (K. Umesono and R. Evans, *Cell* 57, 1139 [1989]; M. Danielsen, L. Hinck, G. Ringold, *Cell* 57, 1131 [1989]). "X" (solid box) indicates the DNA-binding domain (amino acids 1-87) of the *Escherichia coli* LexA protein. The "V" (hatched box) denotes a derivative of the GR N-terminal domain in which amino acids 153-406 are replaced by the transcriptional activation domain of the

HSV VP16 protein (amino acids 411-490) The construct GGEc was constructed by replacing the ligand-binding domain of GGG (amino acids 528-795) with the ligand-binding domain of DHR23α (amino acids 329-878). Similarly, to construct GXEc, the ligand-binding domain of GXG (referred to as NLxC in P. J. Godowski, D. Picard and K. R. Yamamoto, *Science* 241, 812 [1988]) was replaced with the DHR23α ligand-binding domain.

In figure 4B, the accumulation of receptor proteins in transfected cells are shown in a Western blot detected with enzyme-linked antibodies. Whole cell extracts were prepared 48 hrs after transfection with receptor expression plasmids encoding the following fusion proteins. Lane 1, EcEcEc; lane 2, GGG; lane 3, GGEc; lane 4, VGEc; lane 5, G"E"G; lane 6, G"E"Ec; lane 7, GXG: lane 8, GXEc; lane 9, VXEc. The blots were reacted with monoclonal antibody BuGR2, that recognizes an epitope in the N-terminal domain of the rat glucocorticoid receptor (30) and then with a sheep antiserum to mouse antibody coupled to horseradish peroxidase. Positions of the molecular markers are indicated.

10

15

20

25

RNase protection analysis of transcripts induced by receptor proteins is shown in Figure 5. Total RNA was prepared from cells 48 hrs after transfection with expression plasmids encoding either DHR23 α (EcEcEc), GGG, or GGEc and the reporter gene G₄M. 77GO. This reporter gene contains 4 GREs fused at position -77 of an MTV promoter human growth hormone gene construct. Assays used 50 μ gs of total RNA. The position of 377 base protected band for G₄M-77CO and the 294 base protected band from the internal control gene (expressed from a CMV enhancer/promoter construct) are indicated by the closed and opened arrows, respectively.

The induction of EREs by chimeric receptors is shown in Figure 6. Cells were transfected with expression plasmids encoding either DHR23 α (EcEcEc), G"E"G or G"E"Ec and E₄M-₇₇CO, that contains 4 EREs fused to the MTV promoter. Cells were incubated for 48 hrs with or without (-) or with muristerone A (M) or dexamethasone (D). Reporter gene activity was determined as described above for Figure 2.

Illustrated in Table 1 below is the induction of a glucocorticoid receptor (GRE) responsive gene by chimeric receptors. 293 cells were transfected with effector plasmids encoding the indicated receptor proteins and the reporter gene $G_4M_{.77}CO$. These transfected cells were incubated either without added hormone (-), with muristerone A (M) or with dex (D). The reporter gene activity was determined as described above for Fig. 2. The "fold induction" represents the level of expression of the reporter gene in cells incubated with hormone divided by the expression of that reporter gene in extracts from cells incubated in the absence of added ligand.

Table 1
Induction of Glucocorticoid Receptor By Chimeric receptors

5	Receptor	•	M	D
	EcEcEc	1.0 (0.2)	0.9 (0.2)	NT
	GGG	1.0 (0.3)	1.0 (0.3)	347
	GGEc	1.7 (0.3)	798 (57)	NT
10	VGEc	4.7 (0.8)	3117 (240)	NT
10	VGEc	4.7 (0.8)	3117 (240)	

Illustrated in Table 2 is the transcriptional activation by receptor-LexA fusion proteins. Effector plasmids encoding the indicated receptor proteins were transfected with a reporter gene either containing (X₄C₋₃₃CO) or lacking (OC₋₃₃CO) lex-operators. "Control" indicates the cells were transfected with an "effector" plasmid which does not contain a receptor cDNA. The cells were incubated either with (+) or without (-) muristerone A. The fold induction was determined as described previously; the standard deviations are shown in parenthesis.

20

15

Table 2
Transcriptional Activation By Chimeric Receptors

	X ₄ C ₋₅	OC. ₃₃ CO				
Receptor	•	+	-	+		
Control	1.0 (0.3)	1.1 (0.3)	1.0 (0.2)	1.1 (0.4)		
EcEcEc	1.2 (0.2)	1.4 (0.1)	NT	NT		
GXG	1.0 (0.1)	1.1 (0.2)	NT	NT		
GXEc	1.0 (0.2)	44.3 (8.3)	0.7 (0.2)	0.9 (0.3)		
VXEc	4.7 (1.3)	563 (61)	0.8 (0.4)	1.0 (0.2)		

While the invention has necessarily been described in conjunction with preferred embodiments, one of ordinary skill, after reading the foregoing specification, will be able to

effect various changes, substitutions of equivalents, and alterations to the subject matter set forth herein, without departing from the spirit and scope thereof. Hence, the invention can be practiced in ways other than those specifically described herein. It is therefore intended that the protection granted by Letters Patent hereon be limited only by the appended claims and equivalents thereof.

SEQUENCE LISTING

```
(1) GENERAL INFORMATION:
 5
        (i) APPLICANT: Genentech, Inc.
   (ii) TITLE OF INVENTION: Ecdyst roid Dependent Regulation of Genes
     In Mammalian Cells
10
      (iii) NUMBER OF SEQUENCES: 1
     (iv) CORRESPONDENCE ADDRESS:
            (A) ADDRESSEE: Genentech, Inc.
            (B) STREET: 460 Point San Bruno Blvd
15
            (C) CITY: South San Francisco
            (D) STATE: California
            (E) COUNTRY: USA
            (F) ZIP: 94080
20
        (v) COMPUTER READABLE FORM:
            (A) MEDIUM TYPE: 5.25 inch, 360 Kb floppy disk
            (B) COMPUTER: IBM PC compatible
            (C) OPERATING SYSTEM: PC-DOS/MS-DOS
            (D) SOFTWARE: patin (Genentech)
25
       (vii) CURRENT APPLICATION DATA:
            (A) APPLICATION NUMBER:
            (B) FILING DATE: 03-AUG-1992
            (C) CLASSIFICATION:
30
     (viii) ATTORNEY/AGENT INFORMATION:
            (A) NAME: Fitts, Renee A.
            (B) REGISTRATION NUMBER: 35,136
            (C) REFERENCE/DOCKET NUMBER: 607
35
       (ix) TELECOMMUNICATION INFORMATION:
            (A) TELEPHONE: 415/225-1489
            (B) TELEFAX: 415/952-9881
            (C) TELEX: 910/371-7168
40
     (2) INFORMATION FOR SEO ID NO:1:
        (i) SEQUENCE CHARACTERISTICS:
            (A) LENGTH: 2970 bases
45
            (B) TYPE: nucleic acid
            (C) STRANDEDNESS: single_
```

(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

5	AA	SCTT	CTTG	TCC	CCAG	ccg	ACGC	TAAG	TG A	AACGG	AAA	AC GG	CCAC	AAAA	5(
10	CGG	ecga	СТАТ	' CGG	CTGC	CAG	AGG		G AF t Ly 1	AG CG	G CC	SC TG	G TC p Se	G 91	
15	AAC Asr	AA Ası	C GG n Gl	C GG Y Gl	/ Phe	C AT	G CG t Ar	C CT g Le	u Pr	G GA O G1	G GA u Gl	G TC u Se	G TC	2 130 r)
20	20	GIU	ı Va.	I Thi	: Ser	: Se: 2!	r Se	r Ası	n Gl	y Le	u Va 3	l Le	u Pro		
	TCG Ser	GG(Gly	GT(Val 35	L Asr	ATG Met	TCC Ser	G CC	C TCC Ser 40	r Se	G CTO	G GA	C TCC p Sei	G CAC F His 45		
25	GAC Asp	TAT	TGC Cys	GAT S Asp	CAG Gln 50	Asp	CT: Let	TGC Tri	G CTO	C TGG	Gly	C AAC Y Asr	GAC n Glu	247	
30	TCC Ser	GGT Gly 60	Ser	TTT Phe	GGC Gly	GGC Gly	TCC Ser 65	Asn	GG(C CAT	GG(C CTA / Leu 70	AAT Asn	286	
35	CAG Gln	CAG Gln	CAG Gln	CAG Gln 75	AGC Ser	GTC Val	ATC	ACG Thr	CTC Let	ı Ala	: ATC	G CAC His	GCG	325	
40	TGC Cys 85	TCC Ser	AGC Ser	ACT Thr	CTG Leu	CCC Pro 90	GCG Ala	CAG Gln	ACA Thr	ACC Thr	ATC Ile	Ile	CCG Pro	364	
	ATC Ile	AAC Asn	GGC Gly 100	Asn	GCG Ala	AAT Asn	GGG Gly	AAT Asn 105	GGA Gly	GCC	TCC	ACC	AAT Asn 110		
45	GGC Gly	CAA Gln	TAT Tyr	GTG Val	CCG Pro 115	GGT Gly	GCC Ala	ACC Thr	AAT Asn	CTG Leu 120	GGA Gly	GCG Ala	TTG Leu	442	
50	GCC Ala	AAC Asn 125	GGG Gly	ATG Met	CTC Leu	AAT Asn	GGG Gly 130	GGC Gly	CTC Leu	AAT Asn	GGA Gly	ATG Met 135	CAG Gln	481	
55	CAA Gln	CAG Gln	ATT Ile	CAG Gln 140	AAT Asn	GGC Gly	CAC His	GGC Gly	CTC Leu 145	ATC Ile	AAC Asn	TCC Ser	ACA Thr	520	
60	ACG Thr 150	CCC Pro	TCA Ser	ACG Thr	Pro	ACC Thr 155	ACC Thr	CCG Pro	CTC Leu	CAC His	CTT Leu 160	CAG Gln	CAG Gln	559	
	AAC (Asn 1	Jeu	GGG Gly 165	GGC Gly	GCG (Ala (GGC Gly	GGC Gly	GGC Gly 170	GGT Gly	ATC Il	GGG Gly	GGA Gly	ATG Met 175	598	

	GGT ATT CT Gly Ile Le	CAC CAC His His 180	Ala Asn	GIA LUL	CCA AAT Pro Asn 185	GGC CTT Gly Leu	637
5	ATC GGA GT Ile Gly Va 190	r GTG GGA l Val Gly	GGC GGC Gly Gly 195	GGC GGA G	val Giy	CTT GGA Leu Gly 200	676
10	GTA GGC GG Val Gly Gl	A GGC GGA y Gly Gly 205	GTG GGA Val Gly	GGC CTG G Gly Leu G 210	GGA ATG Gly Met	CAG CAC Gln His	715
15	ACA CCC CG Thr Pro Ar 215	A AGC GAT g Ser Asp	TCG·GTG Ser Val 220	AAT TCT Asn Ser	ATA TCT Ile Ser 225	TCA GGT Ser Gly	754
-	CGC GAT GA Arg Asp As 23	p Leu Ser	CCT TCG Pro Ser	AGC AGC Ser Ser 235	TTG AAC Leu Asn	GGA TAC Gly Tyr 240	793
20	TCG GCG AA Ser Ala As	C GAA AGC n Glu Ser 245	Cys ysb	Ala Lys	AAG AGC Lys Ser 250	AAG AAG Lys Lys	832
ස	GGA CCT GC Gly Pro Al 255	G CCA CGG a Pro Arg	GTG CAA Val Gln 260	GAG GAG Glu Glu	CTG TGC Leu Cys	CTG GTT Leu Val 265	871
30	TGC GGC GA Cys Gly As	C AGG GCC p Arg Ala 270	TCC GGC Ser Gly	TAC CAC Tyr His 275	TAC AAC Tyr Asn	GCC CTC Ala Leu	910
35	ACC TGT GA Thr Cys Gl 280	G GGC TGC u Gly Cys	AAG GGG Lys Gly 285	TTC TTT Phe Phe	CGA CGC Arg Arg 290	AGC GTT Ser Val	949
40	ACG AAG AC Thr Lys Se	r Ala Val	TAC TGC Tyr Cys	TGC AAG Cys Lys 300	TTC GGG Phe Gly	CGC GCC Arg Ala 305	988
40	TGC GAA AT Cys Glu Me	G GAC ATO t Asp Met 310	Tyr Met	AGG CGA Arg Arg	AAG TGT Lys Cys 315	CAG GAG Gln Glu	1027
45	TGC CGC CT Cys Arg Le 320	G AAA AAC	G TGC CTG G Cys Leu 325	Ala Val	GGT ATG Gly Met	CGG CCG Arg Pro 330	1066
50	GAA TGC G Glu Cys Va	CC GTC CCC 1 Val Pro 335	GAG AAC Glu Asn	CAA TGT Gln Cys 340	GCG ATG Ala Met	AAG CGG Lys Arg	1105
55	CGC GAA AA Arg Glu Ly 345	G AAG GCC 's Lys Ala	CAG AAG a Gln Lys 350	GAG AAG Glu Lys	GAC AAA Asp Lys 355	ATG ACC Met Thr	1144
~ · ·	ACT TCG CC Thr Ser Pa	CG AGC TC TO Ser Ser 50	CAG CAT	GGC GGC Gly Gly 365	AAT GGC Asn Gly	AGC TTG Ser Leu 370	1183
60	GCC TCT GG Ala Ser G	GT GGC GGC Ly Gly Gly 37	y Gln Asp	TTT GTT Phe Val	AAG AAG Lys Lys 380	GAG ATT Glu Ile	1222

	CTT GAC CTT ATG ACA TGC GAG CCG CCC CAG CAT GCC ACT 1261 Leu Asp Leu Met Thr Cys Glu Pro Pro Gln His Ala Thr 385 390 395
5	ATT CCG CTA CTA CCT GAT GAA ATA TTG GCC AAG TGT CAA 1300 Ile Pro Leu Leu Pro Asp Glu Ile Leu Ala Lys Cys Gln 400 405 -
10	GCG CGC AAT ATA CCT TCC TTA ACG TAC AAT CAG TTG GCC 1339 Ala Arg Asn Ile Pro Ser Leu Thr Tyr Asn Gln Leu Ala 410 415 420
15	GTT ATA TAC AAG TTA ATT TGG TAC CAG GAT GGC TAT GAG 1378 Val Ile Tyr Lys Leu Ile Trp Tyr Gln Asp Gly Tyr Glu 425 430 435
20	CAG CCA TCT GAA GAG GAT CTC AGG CGT ATA ATG AGT CAA 1417 Gln Pro Ser Glu Glu Asp Leu Arg Arg Ile Met Ser Gln 440 445
٣	CCC GAT GAG AAC GAG AGC CAA ACG GAC GTC AGC TTT CGG 1456 Pro Asp Glu Asn Glu Ser Gln Thr Asp Val Ser Phe Arg 450 455 460
25	CAT ATA ACC GAG ATA ACC ATA CTC ACG GTC CAG TTG ATT 1495 His Ile Thr Glu Ile Thr Ile Leu Thr Val Gln Leu Ile 465 470
30	GTT GAG TTT GCT AAA GGT CTA CCA GCG TTT ACA AAG ATA 1534 Val Glu Phe Ala Lys Gly Leu Pro Ala Phe Thr Lys Ile 475 480 485
35	CCC CAG GAG GAC CAG ATC ACG TTA CTA AAG GCC TGC TCG 1573 Pro Gln Glu Asp Gln Ile Thr Leu Leu Lys Ala Cys Ser 490 495 500
40	TCG GAG GTG ATG ATG CTG CGT ATG GCA CGA CGC TAT GAC 1612 Ser Glu Val Met Met Leu Arg Met Ala Arg Arg Tyr Asp 505 510
	CAC AGC TCG GAC TCA ATA TTC TTC GCG AAT AAT AGA TCA 1651 His Ser Ser Asp Ser Ile Phe Phe Ala Asn Asn Arg Ser 515 520 525
45	TAT ACG CGG GAT TCT TAC AAA ATG GCC GGA ATG GCT GAT 1690 Tyr Thr Arg Asp Ser Tyr Lys Met Ala Gly Met Ala Asp 530 535
50	AAC ATT GAA GAC CTG CTG CAT TTC TGC CGC CAA ATG TTC 1729 Asn Ile Glu Asp Leu Leu His Phe Cys Arg Gln Met Phe 540 550
55	TCG ATG AAG GTG GAC AAC GTC GAA TAC GCG CTT CTC ACT 1768 Ser Met Lys Val Asp Asn Val Glu Tyr Ala Leu Leu Thr 555 560 565
60	GCC ATT GTG ATC TTC TCG GAC CGG CCG GGC CTG GAG AAG 1807 Ala Ile Val Ile Phe Ser Asp Arg Pro Gly Leu Glu Lys 570 575
₩	GCC CAA CTA GTC GAA GCG ATC CAG AGC TAC TAC ATC GAC 1846 Ala Gln Leu Val Glu Ala Ile Gln Ser Tyr Tyr Ile Asp 580 585 590

	ACG Thr	CTA Leu	CGC Arg	ATT Ile 595	TAT Tyr	ATA Ile	CTC Leu	AAC Asn	CGC Arg 600	CAC His	TGC Cys	GGC Gly	GAC Asp	1885
5	TCA Ser 605	Met	Ser	Leu	GTC Val	TTC Phe 610	TAC Tyr	GCA Ala	AAG Lys	CTG Leu	CTC Leu 615	TCG Ser	ATC Ile	1924
10	CTC Leu	ACC Thr	GAG Glu 620	CTG Leu	CGT Arg	ACG Thr	CTG Leu	GGC Gly 625	AAC Asn	CAG Gln	AAC Asn	GCC Ala	GAG Glu 630	1963
15	ATG Met	TGT Cys	TTC Phe	TCA Ser	CTA Leu 635	AAG Lys	CTC Leu	AAA Lys	AAC Asn	CGC Arg 640	AAA Lys	CTG Leu	CCC Pro	2002
	AAG Lys	TTC Phe 645	CTC Leu	GAG Glu	GAG Glu	ATC Ile	TGG Trp 650	GAC Asp	GTT Val	CAT His	GCC Ala	ATC Ile 655	CCG Pro	2041
20	CCA Pro	TCG Ser	GTC Val	CAG Gln 660	TCG Ser	CAC His	CTT Leu	CAG Gln	ATT Ile 665	ACC Thr	CAG Gln	GAG Glu	GAG Glu	2080
25	AAC Asn 670	GAG Glu	CGT Arg	CTC Leu	GAG Glu	CGG Arg 675	GCT Ala	GAG Glu	CGT Arg	ATG Met	CGG Arg 680	GCA Ala	TCG Ser	2119
30	GTT Val	GGG Gly	GGC Gly 685	GCC Ala	ATT Ile	ACC Thr	GCC Ala	GGC Gly 690	ATT Ile	GAT Asp	TGC Cys	GAC Asp	TCT Ser 695	2158
35	GCC Ala	TCC Ser	ACT Thr	Ser	GCG Ala 700	GCG Ala	GCA Ala	GCC Ala	GCG Ala	GCC Ala 705	CAG Gln	CAT His	CAG Gln	2197
40	CCT Pro	CAG Gln 710	CCT Pro	CAG Gln	CCC	CAG Gln	CCC Pro 715	CAA Gln	CCC Pro	TCC Ser	TCC Ser	CTG Leu 720	ACC Thr	2236
40	CAG Gln	AAC Asn	GAT Asp	TCC Ser 725	CAG Gln	CAC His	CAG Gln	ACA Thr	CAG Gln 730	CCG Pro	CAG Gln	CTA Leu	CAA Gln	2275
45	CCT Pro 735	CAG Gln	CTA Leu	CCA Pro	CCT Pro	CAA Gln 740	CTG Leu	CAA Gln	GGT Gly	CAA Gln	CTG Leu 745	CAA Gln	CCC Pro	2314
50	CAG Gln	CTC Leu	CAA Gln 750	Pro	CAG Gln	CTT Leu	CAG Gln	ACG Thr 755	CAA Gln	CTC Leu	CAG Gln	Pro	CAG Gln 760	2353
55	ATT Ile	CAA Gln	CCA	CAG Gln	CCA Pro 765	CAG Gln	CTC Leu	CTT Leu	CCC	GTC Val 770	TCC Ser	GCT Ala	CCC	2392
	GTG Val	CCC Pro 775	Ala	TCC Ser	GTA Val	ACC Thr	GCA Ala 780	CCT	GGT Gly	TCC Ser	TTG Leu	TCC Ser 785	GCG Ala	2431
60	GTC Val	AGT Ser	ACG Thr	AGC Ser 790	Ser	GAA Glu	TAC	ATG Met	GGC Gly 795	Gly	AGT Ser	GCG Ala	GCC Ala	2470

	ATA GGA CCC ATC ACG CCG GCA ACC ACC AGC AGT ATC ACG 2509 Ile Gly Pro Ile Thr Pro Ala Thr Thr Ser Ser Ile Thr 800 810	
5	GCT GCC GTT ACC GCT AGC TCC ACC ACA TCA GCG GTA CCG 2548 Ala Ala Val Thr Ala Ser Ser Thr Thr Ser Ala Val Pro 820 825 825	-
10	ATG GGC AAC GGA GTT GGA GTC GGT GTT GGG GTG GGC GGC 2587 Met Gly Asn Gly Val Gly Val Gly Val Gly Gly 830 835	
15	AAC GTC AGC ATG TAT GCG AAG CCC CAG ACG GCG ATG GCC 2626 Asn Val Ser Met Tyr Ala Lys Pro Gln Thr Ala Met Ala 840 845 850	
20	TTG ATG GGT GTA GCC CTG CAT TCG CAC CAA GAG CAG CTT 2665 Leu Met Gly Val Ala Leu His Ser His Gln Glu Gln Leu 855 860	
Δ	ATC GGG GGA GTG GCG GTT AAG TCG GAG CAC TCG ACG ACT 2704 Ile Gly Gly Val Ala Val Lys Ser Glu His Ser Thr Thr 865 870 875	
25	GCA TAG CAGGCGCAGA GTCAGCTCCA CCAACATCAC CACCACAACA 2750 Ala 878	
30	TCGACGTCCT GCTGGAGTAG AAAGCGCAGC TGAACCCACA CAGACATAGG 280	0
25	GGAAATGGGG AAGTTCTCTC CAGAGAGTTC GAGCCGAACT AAATAGTAAA 285	
35	AAGTGAATAA TTAATGGACA AGCGTAAAAT GCAGTTATTT AGTCTTAAGC 290 CTGCAAATAT TACCTATTAT TCATACAAAT TAACATATAA TACAGCCTAT 295	
40	TAACAATTAC GCTAAAGCTT 2970	

PCT/US92/06391

5

10

25

35

Claims:

- 1. A method of inducing gene expression in a mammalian cell comprising contacting an ecdysteroid with an ecdysteroid receptor polypeptide within a mammalian cell, wherein said mammalian cell further contains a DNA binding sequence for said ecdysteroid receptor when in combination with its ligand ecdysteroid, wherein formation of a receptor-ligand-DNA binding sequence complex induces gene expression.
- 2. The method of claim 1 wherein said ecdysteroid does not contain a 25 hydroxyl group.
- 3. The method of claim 2 wherein said ecdysteroid contains an electron-withdrawing group at position 5.
- 4. The method of claim 2 wherein said ecdysteroid contains an electron-withdrawing group at position 11.
 - 5. The method of claim 3 wherein said electron-withdrawing group is selected from the following: hydroxyl, ketone, sulfhydral, nitrate, nitrite, florine, bromine, iodine and chlorine.
- 20 6. The method of claim 4 wherein said electron-withdrawing group is selected from the following: hydroxyl, ketone, sulfhydral, nitrate, nitrite, florine, bromine, iodine and chlorine
 - 7. The method of claim 2 wherein said ecdysteroid is selected from the following: muristerone A, 5-dehydroxy muristerone A, 11-dehydroxy muristerone A and ponasterone.
 - 8. The method of claim 1 wherein said DNA binding sequence further contains a DNA sequence encoding a eukaryotic gene.
- 9. The method of claim 8 wherein said heterologous eukaryotic gene encodes a polypeptide or nucleic acid selected from the following: cytokine, enzyme, structural polypeptide, DNA and RNA.
 - 10. The method of claim 8 wherein said cytokine is selected from the following: growth hormone, insulin-like growth factors, interleukins, human growth hormone, N-methionyl human growth hormone, bovine growth hormone, parathyroid hormone, thyroxine, insulin, proinsulin, relaxin, prorelaxin, glycoprotein hormones such as follicle stimulating hormone (FSH), thyroid stimulating hormone (TSH), parathyroid hormone, and leutinizing hormone (LH), hemopoietic growth factor, hepatic growth factor, fibroblast growth factor, prolactin, placental lactogen, tumor necrosis factor-alpha and -beta, mullerian-inhibiting substance, mouse gonadotropin-

associated peptide, glucagon, inhibin, activin, vascular endothelial growth factor, integrin, thrombopoietin, erythropoietin, nerve growth factors such as NGF-β, platelet-growth factor, hemopoietic growth factor, tissue factor protein, mullerian-inhibiting substance, mouse gonadotropin-associated peptide, transforming growth factors (TGF) such as TGF-alpha and TGF-beta, insulin-like growth factor-I and -II, latency associated peptide, erythropoietin, osteoinductive factors, osteoinductive factors, interferon-alpha, -beta, and -gamma, the colony stimulating factor (CSF) M-CSF, GM-CSF, and G-CSF, interfeukins (ILs) IL-1, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8 and other polypeptide factors.

- 11. The method of claim 8 wherein said enzyme is selected from the following: tissue plasminogen activase (TPA), urokinase, elastase, adenosine deaminase, bombesin, factor IX, factor VIII, thrombin, enkephalinase, β-lactamase, superoxide dismutase, reverse transcriptase, RNase, DNase, enzymes catalyzing glycolysis, Kreb's cycle, gluconeogenesis, urea cycle, oxidative phosphorylation, the synthesis or degredation of purines, pyrimidines, nucleic acid polymers, cholesterol, protein, glycogen, fatty acids, carbohydrate, phospholipids, glycoproteins or lipids.
 - The method of claim 8 wherein said structural polypeptide is selected from the following: lung surfactant, albumin, antibodies, carrier proteins, transport proteins, glycoprotein hormone receptors, CD-4, insulin-like growth factor binding proteins, calcitonin, factor VIII, an antibody, protein A or D, rheumatoid factors, viral antigens, HIV envelope proteins GP120 and GP140, immunoglobulins, serum proteins and any cytoskeletal polypeptide.
- 25 13. The method of claim 8 wherein said nucleic acid is DNA and RNA.
 - 14. The method of claim 1 wherein said mammalian cell is within a mammal.
 - 15. The method of claim 15 wherein said mammal is a human.

30

35

20

- 16. A method of producing a desired protein comprising:
 - a) contacting an ecdysteroid with an ecdysteroid receptor polypeptide within a mammalian cell, wherein said mammalian cell further contains a chimeric DNA sequence comprising 1) a first DNA binding sequence having binding specificity for said ecdysteroid receptor when in combination with its ligand ecdysteroid and 2) a second DNA sequence encoding a desired protein heterologous to said DNA binding sequence and under the transcriptional control of the ecdysteroid receptor-ligand complex; and
 - b) incubating said cell and producing said desired protein.

17. The method of claim 16 wherein said mammalian cell is in a mammal.

18. The method of claim 16 wherein said desired protein is selected from the following: cytokines, enzymes and structural polypeptides.

5

- 19. The method of claim 16 wherein said ecdysteroid is selected from the following: muristerone A, 5-dehydroxy muristerone A, 11-dehydroxy muristerone A and ponasterone.
- 20. A method of regulating endogenous or heterologous genes in transgenic mammals to comprising:
 - a) contacting an ecdysteroid with an ecdysteroid receptor polypeptide within a mammalian cell, wherein said mammalian cell further contains a chimeric DNA sequence comprising 1) a first DNA binding sequence having binding specificity for said ecdysteroid receptor when in combination with its ligand ecdysteroid, and 2) a second DNA sequence encoding a desired gene product heterologous to said DNA binding sequence and under the transcriptional control of the ecdysteroid receptor-ligand complex; and
 - b) incubating said cell and producing said desired gene product.
- 20 21. The method of claim 20 wherein said ecdysteroid receptor is one having binding affinity for muristerone A.
 - 22. The method of claim 20 wherein said ecdysteroid is one having no hydroxyl group at position 25.

25

35

15

- 23. The method of claim 22 wherein said ecdysteroid is selected from the following: muristerone A, 5-dehydroxy muristerone A, 11-dehydroxy muristerone A and ponasterone.
- 30 24. A method of regulating gene expression in a mammalian cell containing a DNA sequence encoding a polypeptide heterologous to said mammalian cell and under the transcriptional control of an ecdysteroid receptor comprising:
 - (a) transforming said mammalian cell to express a ecdysteroid receptor fusion polypeptide wherein said fusion polypeptide contains a heterologous transactivation domain; and
 - (b) contacting said transformed mammalian cell with an ecdysteroid that activates said ecdysteroid receptor.

25. The method of claim 24 wherein said transactivation domain is selected from the following: herpes virus VP16 acidic activation domain, *lexA*, *c-fos*, GAL4 and *c-myc*.

- 26. The method of claim 24 wherein said ecdysteroid is selected from the following:
 muristerone A, 5-dehydroxy muristerone A, 11-dehydroxy muristerone A and ponasterone.
 - 27. The method of claim 24 wherein said ecdysteroid receptor is DHR23α.

AAG	CTTCI	TTG 7	rccc	CAGCO	G AC	GCTA	AGTO	G AAC	GGA	AAAC	GGC	CACAA	AA 50	
-CGG(CGACT	rat -(CGGCI	(GCC)	\G-\\	G					TGG- Trp 5	TCG Ser	9. <u>1</u>	-
											TCG Ser	TCC Ser	130	
											CTG Leu	CCC Pro	169	
											TCG Ser	CAC His 45	208	
											AAC Asn	GAG Glu	247	
											CTA Leu 70	AAT Asn	286	
											CAC His	GGG Gly	325	
											ATT Ile	CCG Pro	364	
											ACC Thr	AAT Asn 110	403	
											GCG Ala	TTG Leu	442	
											ATG Met 135	CAG Gln	481	
											TCC Ser	ACA Thr	.520	

FIG. 1A-1

						2/1							
Thr	CCC Pro	Ser	Thr	Pro	Thr	ACC Thr	Pro	Leu	His	CTT Leu 160	Gln	CAG Gln	559
AAC Asn	CTG Leu	GGG Gly 165	GGC Gly	GCG Ala	GGC Gly	GGC Gly	GGC Gly 170	GGT Gly	ATC Ile	GGG Gly	GGA Gly	ATG Met 175	598
GGT Gly	ATT Ile	CTT Leu	CAC	CAC His 180	GCG Ala	AAT Asn	GGC Gly	ACC Thr	CCA Pro 185	AAT Asn	GGC Gly	CTT Leu	637
ATC Ile	GGA Gly 190	Val	GTG Val	GGA Gly	GGC Gly	GGC Gly 195	GGC Gly	GGA Gly	GTA Val	GGT Gly	CTT Leu 200	GGA Gly	676
GTA Val	GGC Gly	GGA Gly	GGC Gly 205	GGA Gly	GTG Val	GGA Gly	GGC Gly	CTG Leu 210	GGA Gly	ATG Met	CAG Gln	CAC	715
ACA Thr 215	CCC Pro	CGA Arg	AGC Ser	GAT Asp	TCG Ser 220	GTG Val	AAT Asn	TCT Ser	ATA Ile	TCT Ser 225	TCA Ser	GGT Gly	754
CGC Arg	GAT Asp	GAT Asp 230	CTC Leu	TCG Ser	CCT Pro	TCG Ser	AGC Ser 235	AGC Ser	TTG Leu	AAC Asn	GGA Gly	TAC Tyr 240	793
TCG Ser	GCG Ala	AAC Asn	GAA Glu	AGC Ser 245	TGC Cys	GAT Asp	GCG Ala	AAG Lys	AAG Lys 250	AGC Ser	AAG Lys	AAG Lys	832
GGA Gly	CCT Pro 255	Ala	Pro	CGG Arg	Val	Gln	Glu	GAG Glu	Leu	Cys	CTG Leu 265	GTT Val	871
TGC Cys	GGC Gly	GAC Asp	AGG Arg 270	GCC Ala	TCC Ser	GGC Gly	TAC Týr	CAC His 275	TAC Tyr	AAC Asn	GCC Ala	CTC Leu	910
Thr	Cys	Glu	Gly	TGC Cys	Lys	Glv	Phe	Phe	Ara	CGC Arg 290	AGC Ser	GTT Val	949
ACG Thr	AAG Lys	AGC Ser 295	Ala	GTC Val	Tyr	Cys	Cys	AAG Lys	TTC Phe	GGG Gly	CGC Arg	GCC Ala 305	988
TGC Cys	Glu	Met	Asp	ATG Met 310	Tyr	Met	Arg	Arg	Lys	Cys	Gln	GAG Glu	1027

FIG. 1A-2

GAA TGC GTC GTC CCG G	lu Asn		GCG	>		
335	30 770	340				1105
CGC GAA AAG AAG GCC C. Arg Glu Lys Lys Ala G 345						1144
ACT TCG CCG AGC TCT C. Thr Ser Pro Ser Ser G 360						1183
GCC TCT GGT GGC GGC CAla Ser Gly Gly Gly G 375						1222
CTT GAC CTT ATG ACA T Leu Asp Leu Met Thr C 385						1261
ATT CCG CTA CTA CCT G Ile Pro Leu Leu Pro A 400						1300
GCG CGC AAT ATA CCT T Ala Arg Asn Ile Pro S 410						1339
GTT ATA TAC AAG TTA A Val Ile Tyr Lys Leu I 425						1378
CAG CCA TCT GAA GAG G Gln Pro Ser Glu Glu A 440						1417
CCC GAT GAG AAC GAG A Pro Asp Glu Asn Glu S 450						1456
CAT ATA ACC GAG ATA A His Ile Thr Glu Ile T 465						1495
GTT GAG TTT GCT AAA G Val Glu Phe Ala Lys G 475	Sly Leu 180	Ala	Phe			1534

FIG. 1A-3

	CAG Gln					Thr							1573
	GAG Glu												1612
	AGC Ser 515												1651
	ACG Thr												1690
AAC Asn 540	ATT Ile	GAA Glu	GAC Asp	CTG Leu	CTG Leu 545	CAT His	TTC Phe	TGC Cys	CGC Arg	CAA Gln 550	ATG Met	TTC Phe	1729
TCG Ser	ATG Met	AAG Lys 555	GTG Val	GAC Asp	AAC Asn	GTC Val	GAA Glu 560	TAC Tyr	GCG Ala	CTT Leu	CTC Leu	ACT Thr 565	1768
GCC Ala	ATT Ile	GTG Val	ATC Ile	TTC Phe 570	TCG Ser	GAC Asp	CGG Arg	CCG Pro	GGC Gly 575	CTG Leu	GAG Glu	AAG Lys	1807
GCC Ala	CAA Gln 580	CTA Leu	GTC Val	GAA Glu	GCG Ala	ATC Ile 585	CAG Gln	AGC Ser	TAC Tyr	TAC Tyr	ATC Ile 590	GAC Asp	1846
ACG Thr	CTA Leu	CGC Arg	ATT Ile 595	TAT Tyr	ATA Ile	CTC Leu	AAC Asn	CGC Arg 600	CAC His	TGC Cys	GGC Gly	GAC Asp	1885
TCA Ser 605	ATG Met	AGC Ser	CTC Leu	GTC Val	TTC Phe 610	TAC Tyr	GCA Ala	AAG Lys	CTG Leu	CTC Leu 615	TCG Ser	ATC Ile	1924
CTC Leu	ACC Thr	GAG Glu 620	CTG Leu	CGT Arg	ACG Thr	CTG Leu	GGC Gly 625	AAC Asn	CAG Gln	AAC Asn	GCC Ala	GAG Glu 630	1963
	TGT Cys												2002
AAG Lys	TTC Phe 645	CTC Leu	GAG Glu	GAG Glu	ATC Ile	TGG Trp 650	GAC Asp	GTT Val	CAT	GCC Ala	ATC Ile 655	CCG Pro	2041

FIG. 1A-4

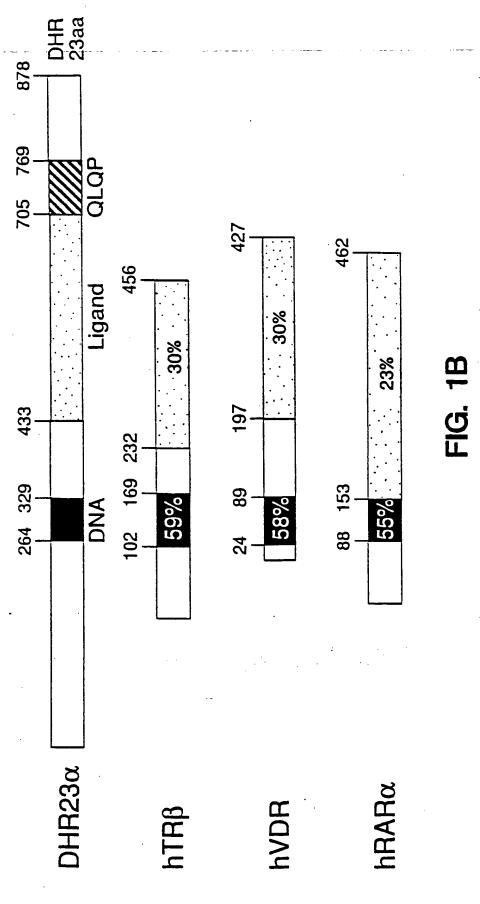
5/14

CCA Pro	TCG Ser	vaı	Gin	TCG Ser	His	Leu	Gln	Ile	Thr	Gln	GAG Glu	GAG Glu	2080
AAC Asn 670	GAG Glu	CGT	CTC Leu	GAG Glu	CGG Arg 675	GCT Ala	GAG Glu	CGT Arg	ATG Met	CGG Arg 680	GCA Ala	TCG Ser	2119
GTT Val	GGG Gly	GGC Gly 685	GCC Ala	ATT Ile	ACC Thr	GCC Ala	GGC Gly 690	ATT Ile	GAT Asp	TGC Cys	GAC Asp	TCT Ser 695	2158
GCC Ala	TCC Ser	ACT Thr	TCG Ser	GCG Ala 700	GCG Ala	GCA Ala	GCC Ala	GCG Ala	GCC Ala 705	CAG Gln	CAT His	CAG Gln	2197
							Gln			TCC Ser			2236
										CAG Gln			2275
CCT Pro 735	CAG Gln	CTA Leu	CCA Pro	CCT Pro	CAA Gln 740	CTG Leu	CAA Gln	GGT Gly	CAA Gln	CTG Leu 745	CAA Gln	CCC Pro	2314
										CAG Gln			2353
										TCC Ser			2392
GTG Val	CCC Pro 775	Ala	TCC Ser	GTA Val	ACC Thr	GCA Ala 780	CCT Pro	GGT Gly	TCC Ser	TTG Leu	TCC Ser 785	GCG Ala	2431
										AGT Ser			2470
										AGT Ser 810			2509
									Ser	GCG Ala			2548

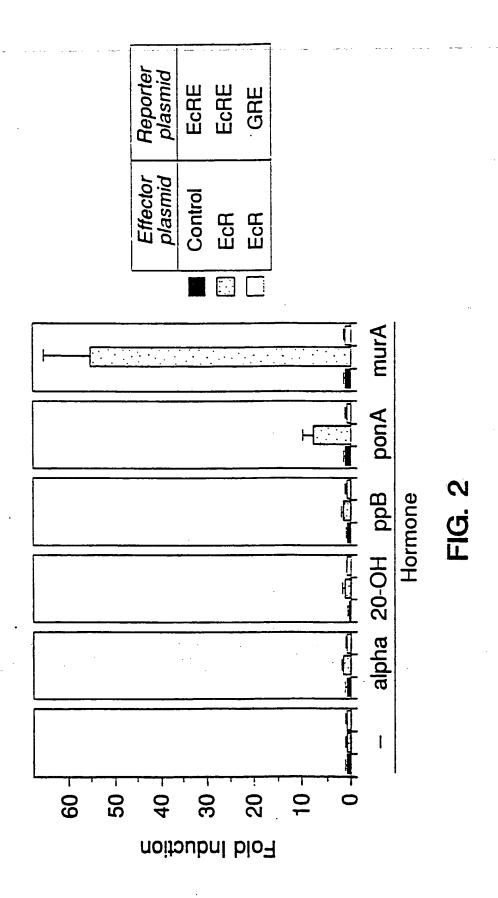
FIG. 1A-5

ATG GGC AAC GGA GTT GGA GTC GGT GTT GGG GTG GGC 2587 Met Gly Asn Gly Val Gly Val Gly Val Gly Gly 830 AAC GTC AGC ATG TAT GCG AAG CCC CAG ACG GCG ATG GCC 2626 Asn Val Ser Met Tyr Ala Lys Pro Gln Thr Ala Met Ala 840 TTG ATG GGT GTA GCC CTG CAT TCG CAC CAA GAG CAG CTT 2665 Leu Met Gly Val Ala Leu His Ser His Gln Glu Gln Leu 855 860 ATC GGG GGA GTG GCG GTT AAG TCG GAG CAC TCG ACG ACT 2704 Ile Gly Gly Val Ala Val Lys Ser Glu His Ser Thr Thr 865 870 875 GCA TAG CAGGCGCAGA GTCAGCTCCA CCAACATCAC CACCACAACA 2750 Ala 878 TCGACGTCCT GCTGGAGTAG AAAGCGCAGC TGAACCCACA CAGACATAGG 2800 GGAAATGGGG AAGTTCTCTC CAGAGAGTTC GAGCCGAACT AAATAGTAAA 2850 AAGTGAATAA TTAATGGACA AGCGTAAAAT GCAGTTATTT AGTCTTAAGC 2900 CTGCAAATAT TACCTATTAT TCATACAAAT TAACATATAA TACAGCCTAT 2950 TAACAATTAC GCTAAAGCTT 2970

FIG. 1A-6



SUBSTITUTE SHEET



SUBSTITUTE SHEET

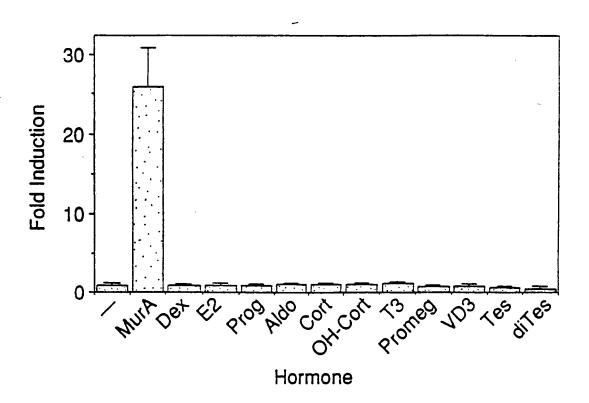


FIG. 3

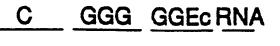
10/14

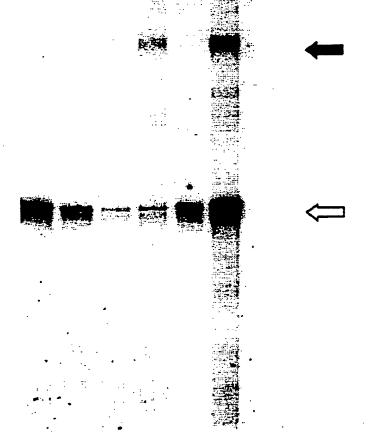
						107	14						
Lane	Re	cep	tor		Activ	/atior	1	DNA	4	Li	gand		
1	Ec	Ec	Ec		[• • • • • • • • • • • • • • • • • • • •	Ec	Ec.			Ec		
2	G	G	G			G		G		G			
3	G	G	Ec			G	<u>-</u>	G			Ec		
4	V	G	Ec				Į,	/- G	; ·.	• • • •	Ec :		
5	G	E	G			G		E		G			
6	G	E	Ec			G		Ε			Ec	• • • • •	\vdots
7	G	×	G			G		X		G			
8	G	×	Ec			G		X		•	Ec	 · · · ·	
9	V	X	Ec					窟 X			Ec		
	L	ł	1	J				FI	G.	4A			
•			1	2	3	4	5	6	7	8	9		

MW (kDa)

205
116.5
80-

FIG. 4B





-M-D-M

FIG. 5

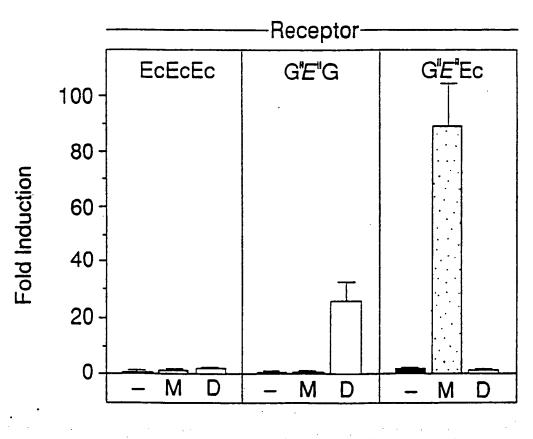


FIG. 6

FIG. 7B

International Application No

I. CLASSIFI	ICATION OF SUBJE	CT MATTER (if several dassification	symbols uppy, indicate all) 6	
		Classification (IPC) or to both National	Classification and IPC	
Int.C1.	5 C12N15/89 C12N15/3		012N15/62; 012N15/31	C12N15/12
II. FIELDS	SEARCHED			
		Minimum Docu	mentation Serched	
Classificati	on System		Classification Symbols	
Int.Cl.	5	C12N ; C07K		·
		Documentation Searchel oth to the Extent that such Document	er than Minimum Documentation us are Incipled in the Fields S <i>earched</i> ⁸	
III. DOCUM		D TO BE RELEVANT	•	
Category °	Citation of D	ocument, ¹¹ with indication, where appro-	priate, of the relevant passages 12	Referent to Claim No.13
P,X	SCIENCE vol. 89 pages 6 CHRISTO 'Ecdyst in mamm ecdyson transac see the WO,A,9 LELAND 5 Septe	INGS OF THE NATIONAL AS OF USA. , July 1992, WASHINGTO 314 - 6318 PHERSON, K. S. ET AL. eroid-dependent regulation cells by a Drose receptor and chimertivators' whole document 113 167 (THE BOARD OF STANFORD JR. UNIVERSIMBER 1991 whole document	ON US ation of genes ophila ic TRUSTEES OF	1,2,7-9, 16-20, 22-24,26
"A" do co "E" en "L" do wh ch "P" do lai	nsidered to be of parti- riler document but put- ing date cument which may the lich is cited to establis- ation or other special ocument referring to as her means cument published prior ter than the priority di IFICATION	eneral state of the art which is not cular relevance blished on or after the international ow doubts on priority claim(s) or is the publication date of another reason (as specified) in oral disclosure, use, exhibition or it to the international filing date but	To later document published after the or priority date and not in conflicted to understand the priociple invention. "A" document of particular relevance cannot be considered novel or call involve an inventive step. "Y" document of particular relevance cannot be considered to involve focument to combined with one ments, such combination being on the art. "A" document member of the same p. Date of Mailing of this Internation. 19. 12. 92	ct with the application pur- er theory underlying the the claimed invention innot be considered to the claimed invention an inventive step when the or more other such docu- physicus to a person skilled atent family
Internation	al Searching Authorit	7	Signature of Authorized Officer	
	EUR PI	EAN PATENT OFFICE	CHAMBONNET F.	J.

	International Application No	
	INTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)	
Category ^o	Citation of Document, with indication, where appropriate, of the relevant passages	Rainrast to Claim No
P,Y	CELL vol. 67, 4 October 1991, CAMBRIDGE, NA US pages 59 - 77 KOELLE, M.R. ET AL. 'The Drosophila EcR gene encodes an ecdysone receptor, a new member of the steroid receptor superfamily' see the whole document	1,8-18, 20,24
r	EP,A,O 244 221 (GENENTECH) 4 November 1987 see the whole document	1,8-18, 20,24
(WO,A,9 014 356 (THE SALK INSTITUTE FOR BIOLOGICAL STUDIES) 29 November 1990 see the whole document	1,8-18, 20,24
	·	
	· ·	
	•	
·		
	• •	

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 92/06391

Box [Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This inte	rnational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
t. X	Claims Nos:: because they relate to subject matter not required to be searched by this Authority, namely: Remark: For claims 14 to 27 as far as they are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Int	ernational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	on Protest The additional search fees were accompanied by the applicant's protest.
	No protest accompanied the payment of additional search fees.

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO. US

9206391 63314

This armer first the patent family members relating to the patent documents cited in the above-mentioned international search report.

The members are as contained in the European Patent Office EDP file on

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information. 24/11/92

Patent document cited in search report	Publication date	j	Patent family member(s)	Publication date	
WO-A-9113167	05-09-91	AU-A-	7492291	18-09-91	
EP-A-0244221	04-11-87	US-A- JP-A- US-A-	4859609 62272990 5030576	22-08-89 27-11-87 09-07-91	
WO-A-9014356	29-11-90	AU-A- CA-A- EP-A-	5824890 2057049 0473716	18-12-90 27-11-90 11-03-92	

Sent to USP70 6/28/95 pol